

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Aja #11

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A-PTO

## INFORMATION DISLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 8

Complete if Known

Application Number	09/782,721
Filing Date	February 12, 2001
First Named Inventor	H. Michael SHEPARD
Art Unit	1623 -1653-
Examiner Name	L. Crane
Attorney Docket Number	NB 2004.02

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)			
JRC	1	US-4,247,544	01-27-81	Bergstrom, et al.	
JRC	2	US-4,267,171	04-12-81	Bergstrom, et al.	
JRC	3	US-4,542,210	09-17-85	Sakata et al.	
JRC	4	US-4,816,570	03-28-89	Farquhar	
JRC	5	US-4,948,882	08-14-90	Ruth	
JRC	6	US-4,975,278	12-04-90	Senter et al.	
JRC	7	US-5,085,983	02-04-92	Scanlon	
JRC	8	US-5,233,031	08-03-92	Borch et al.	
JRC	9	US-5,264,618	11-23-93	Felgner et al.	
JRC	10	US-5,459,127	10-17-85	Felgner et al.	
JRC	11	US-5,521,161	05-28-96	Malley et al.	
JRC	12	US-5,627,165	05-06-97	Glazier	
JRC	13	US-5,645,988	07-08-97	Vande Woude et al.	
JRC	14	US-5,663,321	09-02-97	Gmeiner et al.	COPY OF PAPERS
JRC	15	US-5,798,340	08-25-98	Bischofberger et al.	ORIGINALLY FILED
JRC	16	US-5,981,507	11-09-99	Josephson et al.	

RECEIVED  
JUN 21 2002  
TECH CENTER 1600/2000

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)				
**	17	GB 902 776	02/10/65	The Wellcome Foundation		
JRC	18	WO 91/17424	11/14/91	Vical, Inc.		
JRC	19	WO 94/03467	02/17/94	Institute of Organic Chemistry & Biochemistry of the Academy of Sciences of the Czech Republic, Rega Stichting VZW and Gilead Sciences, Inc.		
JRC	20	WO 96/29336	06/26/96	Amersham International, PLC		
JRC	21	WO 96/40088	12/19/96	Hostettler, Karl		
**	22	WO 97/28170	08/07/97	Fick, James & Israel, Mark		

\*\* Duplicate: see pto-892 for citation of record.

<i>JW</i>	23	WO 99/23147 JUN 12 2012 PATENT & TRADEMARK OFFICE	05/14/99	The Government of the United States of American represented by The Secretary of Health & Human Services	<b>COPY OF PAPERS ORIGINALLY FILED</b>
					<b>RECEIVED JUN 21 2002 TECH CENTER 1600/2900</b>

**Examiner's  
Signature**

L. E. Crane

Date Considered 08/20/02

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST-3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

+

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231.  
**DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B-PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 8

**Complete if Known**

Application Number	09/782,721
Filing Date	February 12, 2001
First Named Inventor	H. Michael Shepard
Art Unit	1623 -1653--
Examiner Name	L. Crane
Attorney Docket Number	NB 2004.02

RECEIVED

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
<i>JRC</i>	24 !	Abraham et al., "Synthesis and biological activity of aromatic amino acid phosphoramides of 5-fluoro-2'-deoxyuridine and 1-J-arabinofuranosylcytosine: Evidence of phosphoramidase activity" <i>J. Med. Chem.</i> 39:4569-4575 (1996).	
<i>JRC</i>	25 !	Akdas et al., "Glutathione S-transferase and multidrug-resistant phenotype in transitional cell carcinoma of the bladder" <i>Eur. Urol.</i> 29(4):483-486 (1996).	
<i>JRC</i>	26 !	Almasan et al., "Genetic instability as a consequence of inappropriate entry into and progression through S-phase" <i>Can. Metastasis Rev.</i> 14:59-73 (1995).	
<i>JRC</i>	27 !	Andersen et al., "Detection of c-erbB-2 related protein in sera from breast cancer patients" <i>Acta Oncol.</i> 34(4):499-504 (1995).	
<i>JRC</i>	28 !	Anglada et al., "N,N-cyclization of carbodiimides with 2-(bromomethyl)acrylic acid. A direct entry to the system 5-methylene-6H-pyrimidine-2,4-dione, A new class of thymine analogues" <i>J. Heterocycl. Chem.</i> 33:1259-1270 (1996).	
<i>JRC</i>	29 !	Antelman et al., "Inhibition of tumor cell proliferation <i>in vitro</i> and <i>in vivo</i> by exogenous p110RB, the retinoblastoma tumor suppressor protein" <i>Oncogene</i> 10:697-704 (1995).	
<i>JRC</i>	30 !	Asakura et al., "Cerium(IV) catalyzed iodination at C5 of uracil nucleosides" <i>Tetrahedron Lett.</i> 29(23):2855-2858 (1988).	
<i>JRC</i>	31 !	Asakura et al., "Cerium(IV)-mediated halogenation at C-5 of uracil derivatives" <i>J. Org. Chem.</i> 55:4928-4933 (1990).	
<i>JRC</i>	32 !	Ayisi et al. "Comparison of the antiviral effects of 5-methoxymethyldeoxyuridine-5'-monophosphate with adenine arabinoside-5'-monophosphate" <i>Antivirals Res.</i> 3:161-174 (1983)	
<i>JRC</i>	33 !	Balzarini et al., "Incorporation of 5-substituted pyrimidine nucleoside analogues into DNA of a thymidylate synthetase-deficient murine FM3A carcinoma cell line" <i>Meth. Find. Exp. Clin. Pharmacol.</i> 7(1):19-28 (1985).	
<i>JRC</i>	34 !	Balzarini, J. et al., "Thymidylate synthase is the principal target enzyme for the cytostatic activity of ( <i>E</i> )-5-(2-bromovinyl)-2'-deoxyuridine against murine mammary carcinoma (FM3A) cells transformed with the herpes simplex virus type 1 or type 2 thymidine kinase gene" <i>Mol. Pharmacol.</i> 32:410-416 (1987).	
<i>JRC</i>	35 !	Balzarini et al., "Differential mechanism of cytostatic effect of ( <i>E</i> )-5-(2-bromovinyl)-2'-deoxyuridine , 9-(1,3-dihydroxy-2-propoxymethyl)guanine, and other antitherapeutic drugs on tumor cells transfected by the thymidine kinase gene of herpes simplex virus type 1 or type 2" <i>J. Biol. Chem.</i> 268(9):6332-6337 (1993).	
<i>JRC</i>	36 !	Balzarini et al., "Anti-HIV and anti-HBV activity and resistance profile of 2',3'-dideoxy-3'-thiacytidine (3TC) and its arylphosphoramidate derivative CF 1109" <i>Biochem. Biophys. Res. Commun.</i> 225:363-369 (1996).	
<i>JRC</i>	37 !	Balzarini et al., "Conversion of 2',3'-dideoxyadenosine (ddA) and 2',3'-didehydro-2',3'-dideoxyadenosine (d4A) to their corresponding aryloxyphosphoramidate derivatives markedly potentiates their activity against human immunodeficiency virus and hepatitis B virus" <i>FEBS Lett.</i> 410:324-328 (1997).	
<i>JRC</i>	38 !	Banerjee et al., "Molecular mechanisms of resistance to antifolates, a review" <i>Acta Biochim. Pol.</i> 42(4):457-464 (1995).	
<i>JRC</i>	39 !	Banerjee et al., "Role of E2F-1 in chemosensitivity" <i>Can. Res.</i> 58:4292-4296 (1998).	
<i>JRC</i>	40 !	Barbour et al., "A naturally occurring tyrosine to histidine replacement at residue 33 of human thymidylate synthase confers resistance to 5-fluoro-2'-deoxyuridine in mammalian and bacterial cells" <i>Mol. Pharmacol.</i> 42:242-248 (1992).	
<i>JRC</i>	41 !	Barr, "Inhibition of thymidylate synthetase by 5-alkynyl-2'-deoxyuridylates" <i>J. Med. Chem.</i> 24(12):1385-1388 (1981).	
<i>JRC</i>	42 !	Barr et al., "Thymidylate synthetase-catalyzed conversions of <i>E</i> -5-(2-bromovinyl)-2'-deoxyuridylate" <i>J. Biol. Chem.</i> 258(22):13627-13631 (1983).	
<i>JRC</i>	43 !	Barr et al., "Reaction of 5-ethynyl-2'-deoxyuridylate with thiols and thymidylate synthetase" <i>Biochem.</i> 22:1696-1703 (1983).	
<i>JRC</i>	44 !	Barrett, "Trapping of the C5 methylene intermediate in thymidylate synthase" <i>J. Am. Chem. Soc.</i> 120:449-450 (1998).	
<i>JRC</i>	45 !	Benzaria et al., "Synthesis, <i>in vitro</i> antiviral evaluation, and stability studies of bis(S-acyl-2-thioethyl) ester derivatives of 9-[2-(phosphonomethoxy)ethyl]adenine (PMEA) as potential PMEA prodrugs with improved oral bioavailability" <i>J. Med. Chem.</i> 39:4958-4965 (1996).	
<i>JRC</i>	46 !	Bergstrom et al., "C-5-substituted pyrimidine nucleosides. 3. Reaction of allylic chlorides, alcohols, and acetates with pyrimidine nucleoside derived organopalladium intermediates" <i>J. Org. Chem.</i> 46(7):1432-1441 (1981).	
<i>JRC</i>	47 !	Bergstrom et al., "Synthesis of ( <i>E</i> )-5-(3,3,3-trifluoro-1-propenyl)-2'-deoxyuridine and related analogues: Potent and unusually selective antiviral activity of ( <i>E</i> )-5-(3,3,3-trifluoro-1-propenyl)-2'-deoxyuridine against herpes simplex virus type 1" <i>J. Med. Chem.</i> 27:279-284 (1984).	
<i>JRC</i>	48 !	Bertino et al., "Resistance mechanisms to methotrexate in tumors" <i>Stem Cells</i> 14:5-9 (1996).	

! Month of publication data is unavailable for this citation.

## OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
JRC	49 !	Bigge et al., "Palladium-catalyzed coupling reactions of uracil nucleosides and nucleotides" <i>J. Amer. Chem. Soc.</i> 102:2033-2038 (1980).	
JRC	50 !	Bosslet et al., "A novel one-step tumor-selective prodrug activation system" <i>Tumor Targeting</i> 1:45-50 (1995).	TECH CENTER 1600/2900 JUN 9 1997
JRC	51 !	Bosslet et al., "Elucidation of the mechanism enabling tumor selective prodrug monotherapy" <i>Can. Res.</i> 58:1195-1201 (1998).	
JRC	52 !	Brison, "Gene amplification and tumor progression" <i>Biochem. Biophys. Acta</i> 1155:25-41 (1993).	
JRC	53 !	Carl et al., "Protease-activated 'prodrugs' for cancer chemotherapy" <i>PNAS USA</i> 77(4):2224-2228 (1980).	
JRC	54 !	Carreras et al., "The catalytic mechanism and structure of thymidylate synthase" <i>Ann. Rev. Biochem.</i> 64:721-762 (1995).	
JRC	55 !	Carter et al., "Humanization of an anti-p185HER2 antibody for human cancer therapy" <i>PNAS USA</i> 89:4285-4289 (1992).	
JRC	56 !	Cava et al., "Thionation reactions of lawesson's reagents" <i>Tetrahedron</i> 41(22):5061-5087 (1985).	
JRC	57 !	Chakravarty et al., "Plasmin-activated prodrugs for cancer chemotherapy. 2. Synthesis and biological activity of peptidyl derivatives of doxorubicin" <i>J. Med. Chem.</i> 26(5):638-644 (1983).	
JRC	58 !	Chaudhuri et al., "Very high affinity DNA recognition by bicyclic and cross-linked oligonucleotides" <i>J. Am. Chem. Soc.</i> 117:10434-10442 (1995).	
JRC	59 !	Chen et al., "Sensitization of human breast cancer cells to cyclophosphamide and ifosfamide by transfer of a liver cytochrome P450 gene" <i>Can. Res.</i> 56:1331-1340 (1996).	
JRC	60 !	Cho et al., "(E)-5-(3-oxopropen-1-yl)-2'-deoxyuridine and (E)-5-(3-oxopropen-1-yl)-2',3'-dideoxyuridine; New antiviral agents: Synthesis and biological activity" <i>Tetrahedron Lett.</i> 35(8):1149-1152 (1994).	
JRC	61 !	Clarke, "Animal models of breast cancer: Their diversity and role in biomedical research" <i>Breast Can. Res. Treat.</i> 39:1-6 (1996).	
JRC	62 !	Colacino, "Mechanisms for the anti-hepatitis B virus activity and mitochondrial toxicity of fialuridine (FIAU)" <i>Antiviral Res.</i> 29:125-139 (1996).	
JRC	63	Collins, J.M. et al. "Suicide prodrugs activated by Thymidylate synthase: Rationale for treatment and noninvasive imaging of tumors with deoxyuridine analogues" <i>Clin. Cancer Res.</i> 5:1976-1981 (August 1999)	
JRC	64	Connors, "Prodrugs in cancer chemotherapy" <i>Xenobiotica</i> 16(10/11):975-988 (1986).	
JRC	65	Connors, et al., "Prodrugs in cancer chemotherapy" <i>Stem Cells</i> 13:501-511 (1995).	
JRC	66	Connors, "Is there a future for cancer chemotherapy?" <i>Annals Oncol.</i> 7:445-452 (1996).	
JRC	67	Copur et al., "Thymidylate synthase gene amplification in human colon cancer cell lines resistant to 5-fluorouracil" <i>Biochem. Pharmacol.</i> 49(10):1419-1426 (1995).	
JRC	68	Crisp, "Synthesis of 5-alkenyl-2'-deoxyuridines via organostannanes" <i>Synth. Commun.</i> 19(11 & 12):2117-2123 (1989).	
JRC	69	Dale et al., "The synthesis and enzymatic polymerization of nucleotides containing mercury: Potential tools for nucleic acid sequencing and structural analysis" <i>PNAS USA</i> 70(8):2238-2242 (1973).	
JRC	70	Davission et al., "Expression of human thymidylate synthase in <i>Escherichia coli</i> " <i>J. Biol. Chem.</i> 264(16):9145-9148 (1989).	
JRC	71	Davission et al. "Expression of human thymidylate synthase in <i>Escherichia coli</i> . (Additions and corrections)" <i>J. Biol. Chem.</i> 269(48):30740 (1994).	
JRC	72	De Clercq et al., "Nucleic acid related compounds. 40. Synthesis and biological activities of 5-alkynluracil nucleosides" <i>J. Med. Chem.</i> 26:661-666 (1983).	
JRC	73	Dicker et al., "Methotrexate resistance in an <i>in vivo</i> mouse tumor due to a non-active-site dihydrofolate reductase mutation" <i>PNAS USA</i> 90:11797-11801 (1993).	
JRC	74	Dirven et al., "The role of human glutathione S-transferase isoenzymes in the formation of glutathione conjugates of the alkylating cytostatic drug thiotepa" <i>Can. Res.</i> 55:1701-1706 (1995).	
JRC	75	Dorr et al., "PALA" In: <i>Cancer Chemotherapy Handbook</i> : Appleton & Lange, Norwalk, Connecticut:768-773 (1994).	
JRC	76	Dunn et al., "Solution of the conformation and alignment tensors for the binding of trimethoprim and its analogs to dihydrofolate reductase: 3D-quantitative structure-activity relationship study using molecular shape analysis, 3-way partial least-squares regression, and 3-way factor analysis" <i>J. Med. Chem.</i> 39:4825-4832 (1996).	
JRC	77	Dyer et al., "Nucleic Acids Chemistry: Improved and new synthetic procedures, methods, and techniques" Townsend, L. B. & Tipson, R. S., eds. (Wiley-Interscience, New York, NY) Vol. 4:79-83 (1991).	
JRC	78	Eccles et al., "Significance of the c-erbB family of receptor tyrosine kinases in metastatic cancer and their potential as targets for immunotherapy" <i>Invasion Metastasis</i> 14(1-6):337-348 (1994-95).	
JRC	79	Eisenbrand et al., "An approach towards more selective anticancer agents" <i>J. Synthetic Organic Chem.</i> 10:1246-1258 (1996).	
JRC	80	Evard, A. et al. "An <i>in vitro</i> nucleoside analog screening method for cancer gene therapy" <i>Chem. Abstracts</i> 126:Abstract No. 26514 (1996)	
JRC	81	Farquhar et al., "Synthesis and antitumor evaluation of bis[(pivaloyloxy)methyl] 2'-deoxy-5-fluorouridine 5'-monophosphate (FdUMP): A strategy to introduce nucleotides into cells" <i>J. Med. Chem.</i> 37:3902-3909 (1994).	
JRC	82	Farquhar et al., "5'-[4-pivaloyloxy]-1,3,2-dioxaphosphorinan-2-yl]-2'-deoxy-5-fluorouridine: A membrane-permeating prodrug of 5-fluoro-2'-deoxyuridylic acid (FdUMP)" <i>J. Med. Chem.</i> 38:488-495 (1994).	
JRC	83	Felip et al., "Overexpression of c-erbB-2 in epithelial ovarian cancer" <i>Cancer</i> 75(8):2147-2152 (1995).	
JRC	84	Finch et al., "Radiation Injury" In: <i>Harrison's Principles of Internal Medicine</i> , 12th edition: McGraw-Hill, Inc., New York, NY:2204-2208 (1991).	

! Month of publication data is unavailable for this citation.

RECEIVED

COPY OF PAPERS  
ORIGINALLY FILED

JUN 12 2002

## OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup> TECH CENTER 1600/2900
Jee	85 !	Finer-Moore et al., "Refined structures of substrate-bound and phosphate-bound thymidylate synthase from <i>Lactobacillus casei</i> " <i>J. Mol. Biol.</i> 232:1101-1116 (1993).	
Jee	86 !	Finer-Moore et al., "Crystal structure of thymidylate synthase from T4 phage: Component of a deoxynucleoside triphosphate-synthesizing complex" <i>Biochem.</i> 33:15459-15468 (1994).	
Jee	87 !	Firestone et al., "A comparison of the effects of antitumor agents upon normal human epidermal keratinocytes and human squamous cell carcinoma" <i>Chem Abstracts</i> 113:Abstract No. 254 (1990)	
Jee	88 !	Freed et al., "Evidence for acyloxymethyl esters of pyrimidine 5'-deoxyribonucleotides as extracellular sources of active 5'-deoxyribonucleotides in cultured cells" <i>Biochem. Pharmacol.</i> 38(19):3193-3198 (1989).	
Jee	89 !	Fries et al., "Synthesis and biological evaluation of 5-fluoro-2'-deoxyuridine phosphoramidate analogs" <i>J. Med. Chem.</i> 38(14):2672-2680 (1995).	
Jee	90 !	Garrett et al., "Thymidylate synthetase. Catalysis of dehalogenation of 5-bromo-and 5-iodo-2'-deoxyuridylate" <i>Biochem.</i> 18(13):2798-2804 (1979).	
Jee	91 !	Goldberg et al., "Novel cell imaging techniques show induction of apoptosis and proliferation in mesothelial cells by asbestos" <i>Am. J. Respir. Cell Mol. Biol.</i> 17:265-271 (1997).	
Jee	92 !	Goldstein et al., "Genetic aspects of disease" In: <i>Harrison's Principles of Internal Medicine</i> , 12th edition: McGraw-Hill, Inc., New York, NY:21-76 (1991).	
Jee	93 !	Goodwin et al., "Incorporation of alkylthiol chains at C-5 of deoxyuridine" <i>Tetrahedron Lett.</i> 34(35):5549-5552 (1993).	
Jee	94 !	Gottesman et al., "Genetic analysis of the multidrug transporter" <i>Ann. Rev. Gen.</i> 29:607-649 (1995).	
Jee	95 !	Graham et al., "DNA duplexes stabilized by modified monomer residues: Synthesis and stability" <i>J. Chem. Soc. Perkin Trans. 1</i> :1131-1138 (1998).	
Jee	96 !	Gros et al., "Isolation and expression of a complementary DNA that confers multidrug resistance" <i>Nature</i> 323:728-731 (1986).	
Jee	97 !	Gros et al., "Mammalian multidrug resistance gene: Complete cDNA sequence indicates strong homology to bacterial transport proteins" <i>Cell</i> 47:371-380 (1986).	
Jee	98 !	Gros et al., "Isolation and characterization of DNA sequences amplified in multidrug-resistant hamster cells" <i>PNAS USA</i> 83:337-341 (1986).	
Jee	99 !	Gudkov et al., "Cloning and characterization of DNA sequences amplified in multidrug-resistant djungarian hamster and mouse cells" <i>Somat. Cell Mol. Genet.</i> 13(6):609-619 (1987).	
Jee	100 !	Hardy et al., "Atomic structure of thymidylate synthase: Target for rational drug design" <i>Science</i> 235:448-455 (1987).	
Jee	101 !	Harris et al., "Adenovirus-mediated p53 gene transfer inhibits growth of human tumor cells expressing mutant p53 protein" <i>Can. Gene Ther.</i> 3(2):121-130 (1996).	
Jee	102 !	Hashimoto et al., "Simple separation of tritiated water and [3H] deoxyuridine from [5-3H] deoxyuridine 5'-monophosphate in the thymidylate synthase assay" <i>Anal. Biochem.</i> 167:340-346 (1987).	
Jee	103 !	Hengstschläger et al., "The role of p16 in the E2F-dependent thymidine kinase regulation" <i>Oncogene</i> 12:1635-1643 (1996).	
Jee	104 !	Hobbs, "Palladium-catalyzed synthesis of alkynylamino nucleosides. A universal linker for nucleic acids" <i>J. Org. Chem.</i> 54:3420-3422 (1989).	
Jee	105 !	Horikoshi et al., "Quantitation of thymidylate synthase, dihydrofolate reductase, and DT-diaphorase gene expression in human tumors using the polymerase chain reaction" <i>Can. Res.</i> 52:108-116 (1992).	
Jee	106 !	Horn et al., "Fialuridine is phosphorylated and inhibits DNA synthesis in isolated rat hepatic mitochondria" <i>Antiviral Res.</i> 34:71-74 (1997).	
Jee	107 !	Hostetler et al., "Enhanced oral absorption and antiviral activity of 1-octadecyl-sn-glycero-3-phospho-acyclovir and related compounds in hepatitis B virus infection, <i>in vitro</i> " <i>Biochem. Pharmacol.</i> 53:1815-1822 (1997).	
Jee	108 !	Houze, "Detection of thymidylate synthase gene expression levels in formalin-fixed paraffin embedded tissue by semiquantitative, nonradioactive reverse transcriptase polymerase chain reaction" <i>Tumor Biol.</i> 18:53-68 (1997).	
Jee	109 !	Hsaio et al., "Synthesis of 5'-thymidinyl bis(1-aziridinyl)phosphinates as antineoplastic agents" <i>J. Med. Chem.</i> 24:887-889 (1981).	
Jee	110 !	Huang et al., "Active site general catalysts are not necessary for some proton transfer reactions of thymidylate synthase" <i>Biochem.</i> 36:1869-1873 (1997).	
Jee	111 !	Hudziak et al., "Amplified expression of the HER2/ERBB2 oncogene induces resistance to tumor necrosis factor I in NIH 3T3 cells" <i>PNAS USA</i> 85:5102-5106 (1988).	
Jee	112 !	Hudziak et al., "Selection for transformation and <i>met</i> protooncogene amplification in NIH 3T3 fibroblasts using tumor necrosis factor I" <i>Cell Growth &amp; Differentiation</i> 1:129-134 (1990).	
Jee	113 !	Husak, R. et al. "Pseudotumour of the tongue caused by herpes simplex virus type 2 in an HIV-1 infected immunosuppressed patient" <i>British J. Dermatol.</i> 139:118-121 (1998)	
Jee	114 !	Imai et al., "Studies on phosphorylation. IV. Selective phosphorylation of the primary hydroxyl group in nucleosides" <i>J. Org. Chem.</i> 34(6):1547-1550 (1969).	
Jee	115 !	Jackman et al., "Quinazoline-based thymidylate synthase inhibitors: Relationship between structural modifications and polyglutamation" <i>Anti-Cancer Drug Design</i> 10:573-589 (1995).	
Jee	116 !	Johnston et al., "Production and characterization of monoclonal antibodies that localize human thymidylate synthase in the cytoplasm of human cells and tissue" <i>Can. Res.</i> 51:6668-6676 (1991).	
Jee	117 !	Johnston, "The role of thymidylate synthase expression in prognosis and outcome of adjuvant chemotherapy in patients with rectal cancer" <i>J. Clin. Oncol.</i> 12(12):2640-2647 (1994).	
Jee	118 !	Kamb et al., "Cyclin-dependent kinase inhibitors and human cancer" <i>Curr. Top. Microbiol. Immunol.</i> 227:139-148 (1998).	
Jee	119 !	Kashani-Sabet et al., "Detection of drug resistance in human tumors by <i>in vitro</i> enzymatic amplification" <i>Can. Res.</i> 48:5775-5778 (1988).	

! Month of publication data is unavailable for this citation.

COPY OF PAPERS  
ORIGINALLY FILED

JUN 21 2002

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
	120	Katki, A.G. et al. "Prodrugs activated by thymidylate synthase: Treatment of tumors with deoxyuridine analogs" <i>Proc. Amer. Assoc. Cancer Res.</i> 39, Abstract No. 1275 (March 1998)	
	121	Klecker et al., "Toxicity, metabolism, DNA incorporation with lack of repair, and lactate production for 1-(2'-fluoro-2'-deoxy-D-arabinofuranosyl)-5-iodouracil in U-937 and MOLT-4 cells" <i>Mol. Pharmacol.</i> 46:1204-1209 (1994)	
	122	Knighton et al., "Structure and kinetic channelling in bifunctional dihydrofolate reductase-thymidylate synthase" <i>Nature Struct. Biol.</i> 1(3):186-194 (1994)	
	123	Kobayashi et al., "Effect of hammerhead ribozyme against human thymidylate synthase on the cytotoxicity of thymidylate synthase inhibitors" <i>Jpn. J. Can. Res.</i> 86:1014-1018 (1995)	
	124	Kodama, E. et al. "Evaluation of antiherpetic compounds using a gastric cancer cell line: Pronounced activity of BVDU against herpes simplex virus replication" <i>Microbiol. Immunol.</i> 40(5):359-363 (1996)	
	125	Kumar et al., "Synthesis and biological evaluation of some cyclic phosphoramidate nucleoside derivatives" <i>J. Med. Chem.</i> 33(9):2368-2373 (1990)	
	126	Kundu, "Synthesis and biological activities of [E]-5-(2-acylvinyl) uracils" <i>Eur. J. Med. Chem.</i> 28:473-479 (1993)	
	127	Kuroboshi et al., "A facile synthesis of difluoromethylene compounds by oxidative fluorodesulfurization of dithioacetals using tetrabutylammonium dihydrogentrifluoride and N-halo compounds" <i>SYNLETT</i> :909-910 (1991)	
	128	Kuroboshi et al., "A facile synthesis of 1,1-difluoroalkyl ethers and carbonyl fluoride acetals by oxidative desulfurization-fluorination" <i>SYNLETT</i> :251-252 (1994)	
	129	Lam, "Application of combinatorial library methods in cancer research and drug discovery" <i>Anticancer Drug Design</i> 12:145-167 (1997)	
	130	Larsson, P.A., et al. "Thymidylate synthase in advanced gastrointestinal and breast cancers" <i>Acta Oncologica</i> 35(4):469-472 (1996)	
	131	Lasic, "Doxorubicin in sterically stabilized liposomes" <i>Nature</i> 380:561-562 (1996)	
	132	Lewis et al., "A serum-resistant cytofection for cellular delivery of antisense oligodeoxynucleotides and plasmid DNA" <i>PNAS USA</i> 93:3176-3181 (1996)	
	133	Li et al., "Lack of functional retinoblastoma protein mediates increased resistance to antimetabolites in human sarcoma cell lines" <i>PNAS USA</i> 92:10436-10440 (1995)	
	134	Lin et al., "Rhenium-188 hydroxyethylidene diphosphonate: A new generator-produced radiotherapeutic drug of potential value for the treatment of bone metastases" <i>Eur. J. Nucl. Med.</i> 24(6):590-595 (1997)	
	135	Livak et al., "Detection of single base differences using biotinylated nucleotides with very long linker arms" <i>Nucl. Acids Res.</i> 20(18):4831-4837 (1992)	
	136	Livingstone, L.R. et al., "Altered cell cycle arrest and gene amplification potential accompany loss of wild-type p53" <i>Cell</i> 70:923-935 (1992)	
	137	Lönn et al., "Higher frequency of gene amplification in breast cancer patients who received adjuvant chemotherapy" <i>Cancer</i> 77(1):107-112 (1996)	
	138	Lovejoy et al., "Animal models and the molecular pathology of cancer" <i>J. Pathol.</i> 181:130-135 (1997)	
	139	Masters et al., "The nucleotide sequence of the cDNA coding for the human dihydrofolic acid reductase" <i>Gene</i> 21:59-63 (1983)	
	140	McGuigan, "Aryl phosphate derivatives of AZT retain activity HIV1 in cell lines which are resistant to the action of AZT" <i>Antiviral Res.</i> 17:311-321 (1992)	
	141	McGuigan, "Intracellular delivery of bioactive AZT nucleotides by aryl phosphate derivatives of AZT" <i>J. Med. Chem.</i> 36:1048-1052 (1993)	
	142	McGuigan et al., "Certain phosphoramidate derivatives of dideoxy uridine (ddU) are active against HIV and successfully by-pass thymidine kinase" <i>FEBS Let</i> 351:11-14 (1994)	
	143	McGuigan, "Aryl phosphoramidate derivatives of d4T have improved anti-HIV efficacy in tissue culture and may act by the generation of a novel intracellular metabolite" <i>J. Med. Chem.</i> 39:1748-1753 (1996)	
	144	McGuigan et al., "Synthesis and evaluation of some masked phosphate esters of the anti-herpetic drug 882C (netivudine) as potential antiviral agents" <i>Antiviral Chem. Chemother.</i> 9:187-197 (1998)	
	145	McIntee, "Probing the mechanism of action and decomposition of amino acid phosphomonoester amides of antiviral nucleoside prodrugs" <i>J. Med. Chem.</i> 40:3323-3331 (1997)	
	146	McKay et al., "Broad spectrum aminoglycoside phosphotransferase type III from <i>Enterococcus</i> : Overexpression, purification, and substrate specificity" <i>Biochem</i> 33:6936-6944 (1994)	
	147	Meden et al., "Elevated serum levels of a c-erbB-2 oncogene product in ovarian cancer patients and in pregnancy" <i>J. Can. Res. Clin. Oncol.</i> 120:378-381 (1994)	
	148	Meier et al., "ADA-bypass by lipophilic cyclosal-ddAMP pro-nucleotides a second example of the efficiency of the cyclosal-concept" <i>Bioorg. Med. Chem. Lett.</i> 7(12):1577-1582 (1997)	
	149	Meier et al., "Cyclic saligenyl phosphotriesters of 2',3'-dideoxy-2',3'-didehydrothymidine (d4T)- a new pro-nucleotide approach" <i>Bioorg. Med. Chem. Lett.</i> 7(2):99 (1997)	
	150	Meier et al., "CycloSal-pro-nucleotides: The design and biological evaluation of a new class of lipophilic nucleotide prodrugs" <i>Int'l. Antiviral News</i> 5(10):183-185 (1997)	
	151	Melton et al., "Antibody-enzyme conjugates for cancer therapy" <i>J. Natl. Can. Inst.</i> 88(3/4):153-165 (1996)	
	152	Montfort et al., "Thymidylate synthase: Structure, inhibition, and strained conformations during catalysis" <i>Pharmacol. Ther.</i> 76(1-3):29-43 (1997)	
	153	Montgomery et al., "Phosphonate analogue of 2'-deoxy-5-fluorouridylic acid" <i>J. Med. Chem.</i> 22(1):109-111 (1979)	
	154	Morgan et al., "Tumor efficacy and bone marrow-sparing properties of TER286, a cytotoxin activated by glutathione S-transferase" <i>Can. Res.</i> 58:2568-2575 (1998)	
	155	Murakami et al., "Accumulation of genetic alterations and their significance in each primary human cancer and cell line" <i>Mutat. Res.</i> 400(1-2):421-437 (1998)	

! Month of publication data is unavailable for this citation.

COPY OF PAPERS  
ORIGINALLY FILED

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

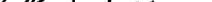
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
JRC	156 !	Nakano et al., "Critical role of phenylalanine 34 of human dihydrofolate reductase in substrate and inhibitor binding and in catalysis" <i>Biochem.</i> 33:9945-9952 (1994).	
JRC	157 !	Nooter et al., "Molecular mechanisms of multidrug resistance in cancer chemotherapy" <i>Pathol. Res. Pract.</i> 192:768-780 (1996).	
JRC	158 !	Osaki et al., "5-fluorouracil (5-FU) induced apoptosis in gastric cancer cell lines: Role of the p53 gene" <i>Apoptosis</i> 2:221-226 (1997).	
JRC	159 !	Oshiro, Y. et al. "Genotoxic properties of (E)-5-(2-bromovinyl)-2'-deoxyuridine (BVDU)" <i>Fund. Appl. Toxicol.</i> 18:491-498 (1992).	
JRC	160 !	Pardo et al. "The incorporation of deoxyuridine monophosphate in DNA increases the sister-chromatid exchange yield" <i>Exp Cell Res.</i> 168:507-517 (1987)	TECH CENTER 100-2000
JRC	161 !	Park, N.H. et al. "Chemotherapy efficacy of E-5-(2-bromovinyl)-2'-deoxyuridine for orofacial infection with herpes simplex virus type 1 in mice" <i>J. Infectious Diseases</i> 145(6):909-913 (1982)	JUN 21 2002
JRC	162 !	Perry et al. "Plastic adaptation toward mutations in proteins: Structural comparison of thymidylate synthases" <i>Proteins</i> 8:315-333 (1990).	
JRC	163 !	Pestalozzi et al., "Prognostic importance of thymidylate synthase expression in early breast cancer" <i>J. Clin. Oncol.</i> 15(5):1923-1931 (1997).	
JRC	164 !	Peters et al., "Thymidylate synthase and drug resistance" <i>Eur. J. Can.</i> 31A(7/8):1299-1305 (1995).	
JRC	165 !	Phelps et al., "Synthesis and biological activity of 5-fluoro-2'-deoxyuridine 5'-phosphorodiamides" <i>J. Med. Chem.</i> 23:1229-1232 (1980).	
JRC	166 !	Pupa et al., "The extracellular domain of the c-erbB-2 oncoprotein is released from tumor cells by proteolytic cleavage" <i>Oncogene</i> 8:2917-2923 (1993).	
JRC	167 !	Roberts, "An isotopic assay for thymidylate synthetase" <i>Biochem.</i> 5(11):3546-3548 (1966).	
JRC	168 !	Robins et al., "Nucleic acid related compounds. 31. Smooth and efficient palladium-copper catalyzed coupling of terminal alkynes with 5-iodouracil nucleosides" <i>Tetrahedron Lett.</i> 22:421-424 (1981).	
JRC	169 !	Robins et al., "Nucleic acid related compounds. 38. Smooth and high-yield iodination and chlorination at C-5 of uracil bases and p-tolyl-protected nucleosides" <i>Can. J. Chem.</i> 60:554-557 (1982).	
JRC	170 !	Robins et al., "Nucleic acid compounds. 39. Efficient conversion of 5-ido to 5-alkynyl and derived 5-substituted uracil bases and nucleosides" <i>J. Org. Chem.</i> 48:1854-1862 (1983).	
JRC	171 !	Rogulski, K.R. et al. "Glioma cells transduced with an <i>Escherichia coli</i> CD/HSV-1 TK fusion gene exhibit enhanced metabolic suicide and radiosensitivity" <i>Hum. Gene Ther.</i> 8:73-85 (1997)	
JRC	172 !	Roninson et al., "Amplification of specific DNA sequences correlates with multi-drug resistance in chinese hamster cells" <i>Nature</i> 309:626-628 (1984).	
JRC	173 !	Ruth et al., "C-5 substituted pyrimidine nucleosides. 1. Synthesis of C-5 allyl, propyl, and propenyl uracil and cytosine nucleosides via organopalladium intermediates" <i>J. Org. Chem.</i> 43(14):2870-2876 (1978).	
JRC	174 !	Santi, "Perspectives on the design and biochemical pharmacology of inhibitors of thymidylate synthetase" <i>J. Med. Chem.</i> 28(2):103-111 (1980).	
JRC	175 !	Sastray et al., "Membrane-permeable dideoxurydine 5'-monophosphate analogue inhibits human immunodeficiency virus infection" <i>Mol. Pharmacol.</i> 41:441-445 (1992).	
JRC	176 !	Sauter et al., "Heterogeneity of erbB-2 gene amplification in bladder cancer" <i>Can. Res.</i> 53:2199-2203 (1993).	
JRC	177 !	Schiffer et al., "Crystal structure of human thymidylate synthase: A structural mechanism for guiding substrates into the active site" <i>Biochem.</i> 34:16279-16287 (1995).	
JRC	178 !	Schimke, "Gene amplification in cultured cells" <i>J. Biol. Chem.</i> 263(13):5989-5992 (1988).	
JRC	179 !	Segovia, "Leishmania gene amplification: A mechanism of drug resistance" <i>Annals Tropical Med. Parasitol.</i> 88(2):123-130 (1994).	
JRC	180 !	Shepard et al., "Resistance of tumor cells to tumor necrosis factor" <i>J. Clin. Immunol.</i> 8(5):333-341 (1988).	
JRC	181 !	Simon, "Cell biological mechanisms of multidrug resistance in tumors" <i>PNAS USA</i> 91:3497-3504 (1994).	
JRC	182 !	Singh et al., "Studies on the preparation and isomeric composition of 186Re- and 188Re-pentavalent rhenium dimercaptosuccinic acid complex" <i>Nucl. Med. Commun.</i> 14:197-203 (1993).	
JRC	183 !	Slamon et al., "Human breast cancer: Correlation of relapse and survival with amplification of the HER-2/neu oncogene" <i>Science</i> 235:177-182 (1987).	
JRC	184 !	Slamon et al., "Studies of the HER-2/neu proto-oncogene in human breast and ovarian cancer" <i>Science</i> 244:707-712 (1989).	
JRC	185 !	Smith et al., "Regulation and mechanisms of gene amplification" <i>Phil. Trans. Royal Soc. Lond. B</i> 347:49-56 (1995).	
JRC	186 !	Snydman et al., "Analysis of trends in antimicrobial resistance patterns among clinical isolates of <i>Bacteroides fragilis</i> group species from 1990 to 1994" <i>Clin. Infectious Diseases</i> 23(Suppl. 1):S54-S65 (1996).	
JRC	187 !	Staschke, K.A. et al. "The in vitro anti-hepatitis B virus activity of FIAU [1-(2'-deoxy-2'-fluro-1-β-D-arabinofuranosyl-5-iodo)uracil] is selective, reversible, and determined, at least in part, by the host cell" <i>Antiviral Res.</i> 23:45-61 (1994)	
JRC	188 !	Stout et al., "Structure-based design of inhibitors specific for bacterial thymidylate synthase" <i>Biochem.</i> 38:1607-1617 (1999).	
JRC	189 !	Stühlinger et al., "Clinical therapy and HER-2 oncogene amplification in breast cancer: Chemo-vs radiotherapy" <i>J. Steroid Biochem. Molec. Biol.</i> 49(1):39-42 (1994).	
JRC	190 !	Sugarman et al., "Recombinant human tumor necrosis factor-I: Effects on proliferation of normal and transformed cells in vitro" <i>Science</i> 230(4728):943-945 (1985).	
JRC	191 !	Sukumar et al., "Specific patterns of oncogene activation in transplacentally induced tumors" <i>PNAS USA</i> 87:718-722 (1990).	

! Month of publication data is unavailable for this citation.

COPY OF PAPERS  
ORIGINALLY FILED

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

**! Month of publication data is unavailable for this citation.**

Examiner's Signature	L. E. Crane 	Date Considered	08/20/02
-------------------------	--	--------------------	----------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**+** Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231.  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Substitute for form1449A-PTO

JUL 01 2002

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	09/782,721
Filing Date	February 12, 2001
First Named Inventor	H. Michael SHEPARD
Art Unit	1623 -1653-
Examiner Name	L. Crane
Attorney Docket Number	NB 2004.02

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)			
lrc	B 1	US-4,668,777	05-26-87	Caruthers et al.	
lrc	B 2	US-4,963,263	10-16-90	Kauver	
lrc	B 3	US-4,963,533	10-16-90	De Clercq et al.	
lrc	B 4	US-5,116,822	05-26-92	De Clercq et al.	
lrc	B 5	US-5,133,866	07-28-92	Kauver	
lrc	B 6	US-5,137,724	08-11-92	Balzarini et al.	
lrc	B 7	US-5,217,869	06-08-93	Kauver	
lrc	B 8	US-5,300,425	04-05-94	Kauver	
lrc	B 9	US-5,338,659	08-16-94	Kauver, et al.	
lrc	B 10	US-5,430,148	07-04-95	Webber, et al.	
lrc	B 11	US-5,433,955	07-18-95	Bredehorst et al.	
lrc	B 12	US-5,516,631	05-14-96	Frisch	
lrc	B 13	US-5,527,900	06-18-96	Balzarini et al.	
lrc	B 14	US-5,596,018	01-21-97	Baba et al.	
lrc	B 15	US-5,733,896	03-31-98	Holy et al.	
lrc	B 16	US-5,968,910	10-19-99	Balzarini	
lrc	B 17	US-6,057,305	05-02-00	Holy et al.	

RECEIVED

JUL 08 2002

TECH CENTER 1600/2000

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)				
lrc	B 18	DE 32 29 169 A1	02-09-84	De Clercq et al.		
lrc	B 19	EP 0 311 107 A2	04-12-89	Stichting REGA VZW		
lrc	B 20	EP 0 311 108A2	04-12-89	Stichting REGA VZW		
lrc	B 21	EP 0 316 592	05-24-89	Stichting REGA VZW		
lrc	B 22	WO 90/03978	04-19-90	Stichting REGA VZW		
lrc	B 23	WO 92/19767	11-12-92	Terrapin Technologies, Inc.		

Examiner's Signature

L. E. Crane

Date Considered

08/15/02

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

+ Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Att. #13

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B-PTC

JUL 01 2002

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

2

*Complete if Known*

Application Number	09/782,721
Filing Date	February 12, 2001
First Named Inventor	H. Michael SHEPARD
Art Unit	1623 - 1653-
Examiner Name	L. Crane
Attorney Docket Number	NB 2004.02

<b>FOREIGN PATENT DOCUMENTS</b>					
<i>He</i>	B 24	WO 95/09865	04-13-95	Terrapin Technologies, Inc.	
<i>He</i>	B 25	WO 96/40739	12-19-96	Terrapin Technologies, Inc.	
<i>He</i>	B 26	WO 97/25342	07-17-97	Terrapin Technologies, Inc.	
<i>He</i>	B 27	WO 97/49717	12-31-97	Balzarini et al.	
<i>He</i>	B 28	WO 98/49177	11-05-98	University College Cardiff Consultants Limited	
<i>He</i>	B 29	WO 00/18755	04-06-00	University College Cardiff Consultants Limited and Rega Foundation	
<i>He</i>	B 30	WO 01/83501	11-08-01	University College Cardiff Consultants Limited and Rega Foundation	RECEIVED JUL 08 2002
<i>He</i>	B 31	WO 01/85749	11-15-01	University College Cardiff Consultants Limited and Rega Foundation	TECH CENTER 1600/2900

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
<i>He</i>	C 1 !	BAJETTA, E. et al. "A pilot safety study of capecitabine, a new oral fluoropyrimidine, in patients with advanced neoplastic disease" <i>Tumor</i> (1996) 82:450-452	
<i>He</i>	C 2 !	CODERRE, J.A. et al. "Mechanism of action of 2',5-difluoro-1-arabinosyluracil" <i>J. Med. Chem.</i> (1983) 26(8):1149-1152	
<i>He</i>	C 3	MEAD, J.A.R. et al. "Pharmacologic aspects of homofolate derivatives in relation to amethopterin-resistant murine leukemia" <i>Cancer Res.</i> (November 1966) 26(1):2374-2379 (November, 1966).	
<i>He</i>	C 4	NICHOL, C.A. and M.T. HAKALA "Comparative growth-Inhibitory activity of homofolic acid against cell lines sensitive and resistant to amethopterin" <i>Biochem. Pharmacol.</i> (October 1966) 15(10):1621-1623 (October, 1966).	
<i>He</i>	C 5 !	RODE, W. "Specificity of thymidylate synthase inactivation by 4,5-bisubstituted dUMP analogues" <i>M. Nencki Inst. Exp. Biol., Acta Biochimica Polonica</i> (1993) 40(3):363-368	
<i>He</i>	C 6 !	SATYAM, A. et al. "Design, synthesis, and evaluation of latent alkylating agents activated by glutathione S-transferase" <i>J. Med. Chem.</i> (1996) 39:1736-1747	
<i>He</i>	C 7	WATAYA, Y. et al. "Interaction of thymidylate synthetase with 5-nitro-2'-deoxyuridylate" <i>J. Biol. Chem.</i> (June 1980) 255(12):5538-5544	

Examiner's Signature	L. E. Crane <i>L. E. Crane</i>	Date Considered	08/15/02
----------------------	--------------------------------	-----------------	----------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

+ Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.